

DOCKET: CU-2535

EXHIBIT 1

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT: Jan Raa et al.

SERIAL NO: 09/854,908

FILING DATE: May 14, 2001

TITLE: Bioactive Peptides, Uses Thereof, and Process for the
Production of Same)
) Group Art Unit: 1653
)
) Examiner: A. Mohamed
)The Assistant Commissioner for Patents
Washington, D.C. 20231**TRANSMITTAL LETTER**

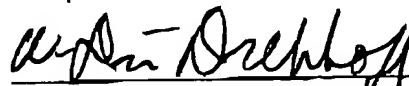
Dear Sir:

Please find enclosed the following:

1. Amendment to Office Action dated June 25, 2002.
2. Marked and clean version of amended claim 17
3. Request for three month extension of time
4. Check no. 033593 for \$460.00
5. Post card receipt

If any credits or fees are due, please charge deposit account no. 12-0400.

Respectfully submitted,



Attorney for Applicants

W. Dennis Drehkoff
c/o Ladas & Parry
224 S. Michigan Avenue
Chicago, IL. 60604
(312)-427-1300
Reg. No. 27193Adjustment date: 02/06/2003 GDUCKETT
01/02/2003 HUUONG1 00000021 09854908
01 FC:2253 -460.00 OP
December 23, 2002

Certification under 37 CFR 1.8a

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to The Assistant Commissioner for Patents, Washington, D.C. 20231 on December 23, 2002.

W. Dennis Drehkoff

Name



Signature

DOCKET: CU-2535

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

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TITLE: Bioactive Peptides, Uses Thereof, and Process for the
Production of Same)
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) Group Art Unit: 1653
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) Examiner: A. Mohamed
)The Assistant Commissioner for Patents
Washington, D.C. 20231**AMENDMENT**

This is in response to the Office Action mailed June 25, 2002. Applicant submits an amendment to the claims as well as a Request for Three-Month Extension of Time to extend the period of reply to December 25, 2002, with a check for the appropriate fee.

IN THE CLAIMS:

Please replace Claim 17 with amended Claim 17.

Please see marked up version of Claim 17 to aid the Examiner in the identification of changes.

Please delete Claims 18-21 without prejudice and without admitting anticipation.

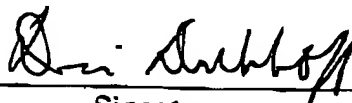
EXHIBIT 2A

Certification under 37 CFR 1.8a

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to The Assistant Commissioner for Patents, Washington, D.C. 20231, on December 23, 2002.

W. Dennis Drehkoff

Name



Signature

DOCKET: CU-2535

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Jan Raa et al.

SERIAL NO: 09/854,908

FILING DATE: May 14, 2001

TITLE: Bioactive Peptides, Uses Thereof, and Process for the
Production of Same

) Group Art Unit: 1653

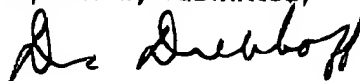
) Examiner: A. Mohamed

THE ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231REQUEST FOR THREE MONTH EXTENSION

Sir:

With reference to the Office Action dated June 25, 2002, Applicant respectfully requests a three-month extension of the response term, from the present term of December 25, 2002, up to a new term of December 25, 2002. Enclosed is a check in the amount of \$460 to cover the fee for this three-month extension. The Commissioner is authorized to charge our Deposit Account No. 12-0400 for any required fees in excess of the amount submitted, or credit any overpayment.

Respectfully submitted,

December 23, 2002

Date

Attorney for Applicant
W. Dennis Drehkoff
c/o Ladas & Parry
224 South Michigan Avenue
Chicago, Illinois 60604
(312) 427-1300
Reg. No. 45613

EXHIBIT 2B

The Patent & Trademark Office acknowledges and has stamped hereon the date of receipt of the item checked below:

- | | |
|---|---|
| <input type="checkbox"/> New pat./design/PCT appln. | <input type="checkbox"/> Assignment & Recordal Cover Sheet |
| <input checked="" type="checkbox"/> Transmittal letter | <input type="checkbox"/> CC of priority document |
| <input checked="" type="checkbox"/> Fee Transmittal (PTO/SB/17) | <input type="checkbox"/> Application Data Sheet |
| <input type="checkbox"/> _____ pages spec./abstract | <input type="checkbox"/> Amendment/Response |
| <input type="checkbox"/> _____ pages of _____ claims | <input checked="" type="checkbox"/> Request for Extension <u>3 months</u> |
| <input type="checkbox"/> _____ sheets of drawings | <input checked="" type="checkbox"/> Fee <u>\$460</u> <u>CK No. 033593</u> |
| <input type="checkbox"/> Declaration/Power | <input type="checkbox"/> Other _____ |

APPLICANT: Rag et alSERIAL NO: 09/854,908FILED: 5-14-02TITLE: BIOACTIVE PEPTIDES, USES THEREOF & PROCESS FOR PRODUCTIONDOCKET: CU2535 DATE: 12-23-02

EXHIBIT 2C

date of receipt of the item checked bel w:

- ☐ New pat./design/PCT appln.
☒ Transmittal letter
☒ Fee Transmittal (PTO/SB/17)
☐ pages spec./abstract
☐ pages of claims
☐ sheets of drawings
☐ Declaration/Power
☐ Assignment & Recordal Co.
☐ CC of priority document
☐ Application Data Sheet
☐ Amendment/Response
☒ Request for Extension
☒ Fee 4460 CEN. 033593
☐ Other

APPLICANT: Rac up alSERIAL NO: 09/854,908FILED: 5-14-02TITLE: Biactive Peptides, UsesTheology Process For ProductionDOCKET: CU2535 DATE: 12-23-02

THE FACE OF THIS DOCUMENT HAS A COLORED BACKGROUND ON WHITE PAPER

LADAS & PARRY

1000 N. W. 10TH AVENUE
SUITE 1000
MIAMI, FL 33136THE NIGHTHAWK JUST COMPANY
DEPT. 1001, 65476
415-710

033593

12/23/02

\$460.00

BOLARS

THE BACK OF THIS DOCUMENT CONTAINS AN ARTIFICIAL WATERMARK - HOLD AT AN ANGLE TO VIEW

033593 0710001521 0000203175

EXHIBIT 3

Certification under 37 CFR 1.8b

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being facsimile transmitted to the U.S. Patent and Trademark Office to Examiner A. Mohamed at Fax No. (703)305-7401 on January 24, 2003.

W. Dennis Drehkoff

Name



Signature

DOCKET: CU-2535

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT: Jan Raa et al.

SERIAL NO: 09/854,968

FILING DATE: May 14, 2001

TITLE: Bioactive Peptides, Uses Thereof, and Process for the
Production of Same

) Group Art Unit: 1653

) Examiner: A. Mohamed

The Assistant Commissioner for Patents
Washington, D.C. 20231**TRANSMITTAL LETTER**

Dear Sir:

Transmitted herewith is a copy of the response mailed December 23, 2002 with the correct serial number.

Applicant apologizes for any inconvenience the typographical error may have caused.

January 24, 2003

Respectfully submitted,



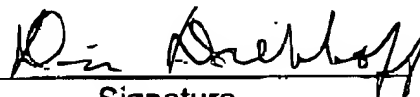
W. Dennis Drehkoff, Reg. No. 27193
Attorney for Applicant
Ladas & Parry
224 S. Michigan, Ste. 1200
Chicago, IL 60604
312-427-1300

Certification under 37 CFR 1.8a

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to The Assistant Commissioner for Patents, Washington, D.C. 20231 on December 23, 2002.

W. Dennis Drehkoff

Name



Signature

DOCKET: CU-2535

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT: Jan Raa et al.

SERIAL NO: 09/854,968

FILING DATE: May 14, 2001

TITLE: Bioactive Peptides, Uses Thereof, and Process for the
Production of Same)
)
)
)
)
)

Group Art Unit: 1653

Examiner: A. Mohamed

The Assistant Commissioner for Patents
Washington, D.C. 20231**AMENDMENT**

This is in response to the Office Action mailed June 25, 2002. Applicant submits an amendment to the claims as well as a Request for Three-Month Extension of Time to extend the period of reply to December 25, 2002, with a check for the appropriate fee.

IN THE CLAIMS:

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Please see marked up version of Claim 17 to aid the Examiner in the
identification of changes.

Please delete Claims 18-21 without prejudice and without admitting anticipation.

REMARKS

Reconsideration of the above-identified application is respectfully requested.

EXHIBIT 4

- 698181425

PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0851-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 435.00

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Jan RAA et al
Examiner Name	
Group Art Unit	
Attorney Docket No.	CU-2535 WDD

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 12-0400
Deposit Account Name Ladas & Parry

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	355.
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) 355.

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims <u>18</u>	$20 - 1 = 19$	$0 \times 40 = 0$	
Multiple Dependent Claims <u>5</u>	$3 - 1 = 2$	$40 \times 2 = 80$	80.

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 80.

*For number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.

SUBMITTED BY

Name (Print/Type)	W. Dennis Drehkoff	Registration No. (Attorney/Agent)	27193	Telephone	(312)427-1300
Signature	<i>W. Dennis Drehkoff</i>	Date	05/14/01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.